

Clinical Trial Continuum Chart

I

PHASE I - IDE APPROVAL AND CLINICAL STUDY START-UP

FDA Negotiations and Responses
Clinical Site Qualification and Selection
Reimbursement Review and Analysis
Plan Investigator / Coordinator meetings
CTA, LoA Development and Approval

II

PHASE IIA - CLINICAL PHASE (INITIATION)

Ongoing Communication with FDA
Clinical Site Qualification and Selection
Initiate Site Qualification Visits
CTA, LoA Development and Approval (Inclusion of New Sites)
Coverage Support for IDE Category B Devices

PHASE IIB - CLINICAL PHASE (INTERIM)

Patient Enrollment
Data Collection
Interim Monitoring Visits
Data Management
Interim Study Statistical Analysis
Coordinate Payments to Study Vendors, per CTA LoA Terms

PHASE IIC - CLINICAL PHASE (COMPLETION)

Resolution of Outstanding Queries
Site Close-Out Visits
Database Lock
PMA Development and Submission

III

PHASE III - REGULATORY SUBMISSION

Summary of Safety and Effectiveness Data/Labeling
Statistical Analysis for Post-Approval Study Planning
PMA Submission
Pre-Approval Payor Messaging